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Shallow necking depth and differential denudation linked to post-rift continental reactivation: the origin of the Cenozoic basins in southeastern Brazil

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1 **Abstract**

2 The southeastern Brazilian margin presents renewed Cenozoic tectonism that created a
3 series of grabens and small sedimentary basins, known as the Continental Rift of South-
4 eastern Brazil. The formation of this rift occurred long after the South Atlantic ocean
5 opening and was attributed to different mechanisms like regional uplift induced by hotspot
6 activity, pulses of Andean orogeny, and reactivation of pre-existing faults. However, the
7 proposed models lack an analytical or numerical verification from a geodynamic point
8 of view. Based on finite element modeling of the lithospheric stress field evolution we

9 conclude that a shallow necking depth, consistent with the hyperextended southeastern
10 Brazilian margin, combined with differential denudation of the continent, results in an
11 upper crustal stress field favorable to normal faulting at the time span of the Cenozoic
12 tectonism.

13 **Keywords:** southeastern Brazil, lithospheric stress, necking depth, differential denuda-
14 tion, numerical model

15 1 Introduction

16 The Continental Rift of Southeastern Brazil (CRSB) is characterized by several Cenozoic
17 sedimentary basins (Fig. 1A) along a narrow valley flanked by the Serra do Mar and
18 the Serra da Mantiqueira escarpments (Riccomini et al., 2004). The rift extends for
19 about 900 km along the continental margin and follows the direction of dextral strike-
20 slip shear zones (NE-trending) of Precambrian rocks of the Ribeira belt (*e.g.*, Trouw
21 et al., 2000). The formation of the CRSB during the Palaeocene (*e.g.*, Cobbold et al.,
22 2001; Sant’Anna et al., 2004) cannot be explained as a natural consequence of the South
23 Atlantic opening during the Early Cretaceous, with a time interval of more than 60 million
24 years (Myr) between these two events. Different mechanisms were invoked to explain the
25 CRSB formation, like normal fault reactivation of weak Precambrian shear zones, which
26 led to gravitational sliding between the continent and the adjacent offshore basins (*e.g.*,
27 Almeida, 1976; Riccomini et al., 2004), far-field stresses related to Andean orogeny and
28 consequent reactivation of the pre-existing shear zones in a transtensional context (*e.g.*,
29 Cobbold et al., 2001; Cogné et al., 2013), and regional uplift of the margin related to the

30 passage of the Trindade-Martin Vaz hotspot under the continental margin, which resulted
 31 in alkaline intrusions (Cobbold et al., 2001) (Fig. 1A).

32 In spite of the various proposed mechanisms to explain the CRSB generation, there is
 33 a lack of quantitative studies to analyze their viability. Using a finite element model to
 34 simulate the stress field evolution during the post-rift phase, we conclude that a shallow
 35 necking depth (Braun and Beaumont, 1987) during the Cretaceous opening of the South
 36 Atlantic ocean combined with differential onshore denudation and offshore sedimentation
 37 created a state of stress in the upper crust favorable to normal faulting near the margin at
 38 the timing of CRSB formation. Deeper necking depth delays the timing in which normal
 39 faulting occurs, whereas regional uplift and horizontal compressive stresses represented
 40 secondary factors in the Cenozoic tectonism.

41 2 Modeling description

42 Here we used a mechanical, two-dimensional finite element model (Assumpção and Sacek,
 43 2013) in which the rheology of the lithosphere is described by a Maxwell viscoelastic ma-
 44 terial with a nonlinear power-law viscosity (Melosh and Raefsky, 1980) in plane-strain
 45 deformation. The effective viscosity η_{eff} is a function of pressure P and absolute temper-
 46 ature T :

$$47 \quad \eta_{\text{eff}} = \exp\left(\frac{E_a + PV_a}{RT}\right) / 2A\sigma_{\text{II}}^{n-1} \quad (1)$$

48 where σ_{II} is the square root of the second invariant of the deviatoric stress tensor and the
 49 other parameters are defined in Table 1. The model domain is 2000-km-long by a 150-
 50 km-thick lithosphere (L_z). In the continental side of the model, the crust is 40-km-thick,
 51 while for the oceanic domain, we assumed a stretching factor β (McKenzie, 1978) of 3,
 52 which is representative for the distal southeastern Brazilian margin (Chang et al., 1992).
 53 Between the continental and oceanic domains, the crustal thickness varies linearly in a

54 transition zone 60-km-long near the center of the model (Fig. 2). For the reference model,
55 in the onset of the simulation, the lithosphere is in isostatic equilibrium assuming the
56 densities of 2800, 3250 and 3300 kg/m³ for crust, lithospheric mantle and asthenosphere,
57 respectively. The temperature profile, necessary to calculate the effective viscosity in the
58 crust and mantle, varies linearly from 0 °C at the surface to 1300 °C at the base of the
59 lithosphere in the continental part. In the oceanic part, the profile varies linearly from 0
60 °C at the bottom of the water layer to 1300 °C at depth L_z/β (thinned lithosphere) and
61 is constant below this depth. The upper and bottom boundaries were left free while the
62 lateral boundaries were kept fixed in the horizontal direction. Winkler's foundation was
63 used to keep isostatic equilibrium.

64 We performed numerical experiments varying the necking depth of the lithosphere,
65 the denudation and sedimentation rates, the effect of a regional uplift and compressional
66 stresses in the model. In the numerical scenarios, the total amount of erosion in the con-
67 tinent since the opening of the Atlantic margin was 3 km over 100 km near the coastal
68 area, and 1 km in the hinterland, decreasing smoothly to zero landward, and the maxi-
69 mum offshore sedimentation was 4 km (Fig. 2, vertical arrows in the upper panel). This
70 denudation/sedimentation pattern corresponds to setup *a* and half of these amplitudes
71 represents setup *b*. For simplicity, we simulated denudation/sedimentation with a con-
72 stant rate (Fig. 1C, black line). The total simulated time was 130 Myr, equivalent to
73 the age of SE Brazilian margin. The erosion and sedimentation was incorporated in
74 the numerical model as nodal forces at the top of the finite element mesh (Braun and
75 Beaumont, 1987).

76 Additionally, we tested the effect of a regional uplift that would be caused by a thermal
77 anomaly under the base of the continental lithosphere moving toward the right side of the
78 model, simulating the relative movement of the South American plate over the Trindade-
79 Martin Vaz hotspot. To simulate the uplift, we applied a vertical stress at the bottom

80 nodes of the model resulting in a vertical displacement s :

$$81 \quad s(x, t) = S \exp \left(-(x - vt - x_0)^2 / r^2 \right) \quad (2)$$

82 where S is the maximum amplitude, r is the swell radius, v is the horizontal velocity, t is
 83 the time, and x_0 is the initial position of the swell center. We used $S = 1000$ m and $r =$
 84 600 km, corresponding to the approximate dimensions of the present Trindade-Martin
 85 Vaz hotspot swell in the Atlantic ocean (Ito and van Keken, 2007) and $v = 2.3$ cm/yr
 86 based on Ferrari and Riccomini (1999).

87 To simulate the flexural effect of different necking depths (z_n) in the model, we applied
 88 a vertical load q in the offshore domain given by (Braun et al., 2013)

$$89 \quad q = \left(1 - \frac{1}{\beta}\right) [h_{c0}(\rho_m - \rho_c) - (\rho_m - \rho_w)z_n]g \quad (3)$$

90 where h_{c0} is the original crustal thickness, ρ_m , ρ_c and ρ_w are the mantle, crust and water
 91 densities, respectively, and g is the gravitational acceleration. To mimic this q load, we
 92 applied a fictitious density contrast $\Delta\rho'$ (Fig. 2) in the thinned crust relatively to the
 93 reference model given by

$$94 \quad \Delta\rho' = \frac{\beta q}{h_{c0}g}. \quad (4)$$

95 To simulate the ridge push force, a horizontal force were applied in the model

$$96 \quad F(t) = F_{\text{RP}} (1 - \exp(-t/\tau_{\text{RP}})) \quad (5)$$

97 where τ_{RP} is a decaying control factor and F_{RP} is the maximum force per unit length (see
 98 Supporting Information).

99 To evaluate the brittle failure of rocks we used the Mohr-Coulomb criterion (Ranalli,

100 1987)

$$101 \quad \tau = c + \mu\sigma_n \quad (6)$$

102 where τ is the shear stress, $\mu = \tan \phi$ is the coefficient of friction for the internal friction
 103 angle ϕ , σ_n is the normal stress, and c is the cohesion. The depth in which this condition is
 104 satisfied we defined as the maximum depth of brittle failure (d_{\max}). Our viscoelastic model
 105 does not incorporate the brittle deformation mechanism in the constitutive equations and,
 106 therefore, cannot simulate faulting. However, d_{\max} gives an estimate of the depth where
 107 the brittle limit is achieved for different cohesion values, assuming the stress field in the
 108 viscoelastic model for each time step of the simulation.

109 We performed numerical experiments with different boundary conditions to simulate
 110 the effect of surface loads due to denudation and sedimentation (S), regional uplift (U)
 111 and horizontal compressive stresses (C), resulting in models labeled S , SU and SC , where
 112 more than one letter means combination of effects.

113 3 Results

114 In the model S for $z_n = 8.1$ km, in which the lithosphere is initially close to isostatic
 115 equilibrium (Fig. 3A, reference model), the unloading caused by concentrated denudation
 116 resulted in large tensional horizontal deviatoric stresses in the upper continental crust.
 117 These stresses are amplified by the load in the offshore basin. The d_{\max} increases with
 118 time near the margin and is deeper for low cohesion values (Fig. 3A). For deeper necking
 119 depth ($z_n = 12.1$ km, Fig. 3B), d_{\max} is shallower due to additional compressive stresses
 120 in the upper crust originated by upward flexure of the margin.

121 For different models, Fig. 4 presents the timing t_f when the d_{\max} is deeper than a
 122 threshold depth, assumed here equal to 1 km bellow the eroded surface. The timing in
 123 which t_f occurs increases for deeper necking depths, varying more than 10 Myr for a 1 km

124 change in z_n . Additionally, the decrease in amplitude of the surface processes (models b)
125 delayed the t_f by up to ~ 60 Myr.

126 Regional uplift (models SU) changed t_f by less than 10 Myr in almost all the models,
127 representing a secondary tectonic effect. In fact, the regional uplift did not significantly
128 modified the deviatoric stress pattern in the upper crust. Regional compression (models
129 SC) tends to delay the t_f , but this effect is significant only for models with low denudation
130 rate (models b). Therefore, the main factors that controls the timing of t_f are the necking
131 depth and the magnitude of the surface processes.

132 4 Discussion

133 The hyperextended SE Brazilian margin is marked by a wide continent-ocean transition
134 with more than 500 km, which is compatible with a shallow necking depth ([Huismans
135 and Beaumont, 2011](#)), probably < 12 km. This shallow necking depth combined with the
136 high denudation concentrated along the margin predicted by thermochronological data,
137 reaching up to 4 km of post break-up denudation ([Cogné et al., 2011](#)), can explain the
138 origin of the CRSB during the Paleocene.

139 As our model does not incorporate the brittle rheology, the present viscoelastic model
140 cannot simulate faulting in upper part of the continental crust during the margin evolu-
141 tion. However, the d_{\max} gives the depth of the envelope where the brittle limit is achieved
142 for different cohesion values, assuming the stress field in the viscoelastic model for each
143 time step of the simulation. Incorporation of brittle rheology would modify the stress field
144 mainly in the upper crust, localizing the deformation and, eventually, resulting in deeper
145 faults. In fact, previous thermomechanical models with a nonlinear brittle-elastic-ductile
146 rheology showed that erosion indeed induces localization, increasing the deformation rate
147 along major faults (*e.g.*, [Burov and Poliakov, 2001](#)).

148 Although we employed a very simplified erosion history assuming a constant rate of

149 erosion with total denudation up to 3 km, representing a lower boundary for the total
150 denudation in southeastern Brazil since the Early Cretaceous, thermochronological data
151 (*e.g.*, [Cogné et al., 2011](#)) indicates that denudation rate changed through time, with high
152 cooling rates between 90 and 60 Ma (Fig. 1C). These simulations show that considering a
153 high denudation rate of the continental margin combined with sedimentary deposition in
154 the oceanic domain leads to an expressive contribution to the normal faulting reactivation
155 of the Precambrian shear zones of the Ribeira belt where the rocks of upper crust had
156 lower internal cohesion. Additionally, our results indicate that other geotectonic processes
157 like regional uplift and compression had secondary effect on the formation of these normal
158 faults. However, a regional uplift can be indirectly related to the rift formation by the
159 perturbation of surface processes dynamics, contributing to enhance denudation. [Braun](#)
160 [et al. \(2013b\)](#) showed that long-wavelength topographic perturbation due to a mantle
161 source can induce high denudation even for a broad and smooth uplift. Thus, in spite of
162 the inexpressive modification of the deviatoric stresses in the upper crust due to regional
163 uplift, this perturbation probably increased the denudation rate in the onshore margin.

164 We conclude that important elements to create the CRSB are: (i) a shallow neck-
165 ing depth, (ii) the high denudation concentrated along the continental margin, and (iii)
166 the preexistence of shear zones parallel to the coast. Other divergent margins around the
167 world probably do not present these factors simultaneously, hence not inducing the forma-
168 tion of a continental rift. Thermochronological data ([O'Sullivan et al., 1996](#)) indicate that
169 the divergent margin of southeastern Australia, formed at 100-90 Ma, had a low post-rift
170 denudation rate, which might have contributed to the inexpressive post-breakup tecton-
171 ism of this margin (*e.g.*, [Bishop and Goldrick, 2000](#)). In the margin between Namibia
172 and South Africa the denudation was about the same magnitude observed in SE Brazil
173 ([Gallagher and Brown, 1999](#)). However, in this case, the width of the mobile belt parallel
174 to coast is much narrower than the Ribeira Belt, hence not favoring the formation of a

175 fault system on the belt. For non-hyperextended margins, necking depth is expected to
176 be higher, inducing upward flexure of the margin and precluding normal faulting occur-
177 rence. The Araçuaí Belt, northward of the Ribeira Belt, and the West Congo Belt in the
178 African conjugate margin evolved to relatively narrow margins and, therefore, probably
179 related to deeper necking depths, which in turn contributed to suppress post-rift normal
180 faulting reactivations.

181 **5 Conclusions**

182 This study provides a numerical quantification of the stresses within the lithosphere
183 due to variable necking depth, onshore erosion, offshore sedimentation, regional uplift
184 and compressional stresses based on numerical simulation of the southeastern Brazilian
185 margin evolution using a viscoelastic numerical model. Our results showed a dependence
186 between the necking depth of the lithosphere and the timing in which normal faulting is
187 expected to occur in the upper crust near the margin. A shallow necking depth (≤ 12 km)
188 together with the high denudation rate of the onshore area, which resulted in more than
189 3 km of erosion along the margin since the opening of South Atlantic ocean, combined
190 with the pre-existing shear zones parallel to the margin contributed to form a suitable
191 scenario for the formation of the Continental Rift of southeastern Brazil. On the other
192 hand, a regional uplift induced by the relative movement of the South American plate
193 over the Trindade-Martin Vaz hotspot cannot explain the reactivation of deep normal
194 faults by flexural stresses.

195 **6 Acknowledgments**

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268 **Figure captions**

269 **Figure 1. A:** Map of southeastern Brazil. Black arrows indicate the Serra da Mantiqueira
 270 and the Serra do Mar escarpments. Triangles are locations of thermochronological data
 271 (Cogné et al., 2011). Lower inset shows the geologic context of the CRSB. Orange ar-
 272 eas are the CRSB basins and solid traces are main Precambrian shear zones (redrawn
 273 from Cogné et al. (2011)). **B:** Elevation profile showed in A as XX'. Sedimentary strati-
 274 graphic profile YY' in A was obtained from (Contreras et al., 2010). Upper panel are the
 275 maximum post-rift denudation inferred from the thermochronological data considering a
 276 geothermal gradient of 30 °C/km. **C:** Representative denudation and sedimentation evo-
 277 lution patterns used in the model (black curve). The maximum magnitude varied across
 278 the model as shown in Fig. 2 (upper panel). The colored curves represent the thermal
 279 histories obtained from Cogné et al. (2011) with the respective colors of total denudation
 280 shown in B.

281

282 **Figure 2.** Model setup. Density contrasts were calculated based on reference densities
 283 for the crust and mantle of 2800 and 3250 kg/m³, respectively. The dashed rectangle
 284 indicates the sections shown in Fig. 3. The swell profile in the bottom part represents
 285 a thermal anomaly moving rightward with velocity of 2.3 cm/yr. Arrows in the upper
 286 panel represent the maximum load variation across the model. $\Delta\rho'$ is a fictitious density
 287 contrast used to obtain the equivalent flexural load for a given necking depth. The
 288 denudation/sedimentation rates follows the linear pattern shown in Fig. 1C.

289

290 **Figure 3.** Deviatoric stress pattern along the continental margin for models with only
 291 surface processes (models *S* and setup *a*). Only a section of the numerical domain is
 292 shown (see Fig. 2 for location). Column **A** is for $z_n = 8.1$ km and column **B** for $z_n = 12.1$
 293 km. Blue and red line segments represent principal compression and tension, respectively.

294 Solid, dashed and dotted black lines are the failure limit assuming rock cohesion of 0, 50
295 and 100 MPa and with $\mu = \tan 30^\circ$. Top horizontal bar indicates the Serra da Mantiqueira
296 (light green), the CRSB (light brown) and the Serra do Mar (dark green) areas. Dark
297 gray area in the section corresponds to the mantle. See Figs. S2 and S3 in Supporting
298 Information for a detailed evolution of the models.

299

300 **Figure 4.** Timing when d_{\max} is deeper than 1 km bellow the eroded surface for differ-
301 ent necking depths. The purple (Ar-Ar ages) and magenta (K-Ar ages) bars represent
302 interbedded lava flows with sediments in CRSB basins (Riccomini et al., 2004, and ref-
303 erences therein). Horizontal orange bar indicates the Paleocene epoch. The model keys
304 are: *S* - denudation and sedimentation; *SU* - denudation, sedimentation, and regional
305 uplift; *SC* - denudation, sedimentation, and horizontal compression. Total amount of
306 denudation and sedimentation are, respectively, 3 km and 4 km for *a* and 1.5 km and 2
307 km, respectively, for *b*.

308 **Supplementary information**

- 309 • Description of the ridge push force to simulate a horizontal compressive stress in
310 the model.

- 311 • Figure S1. Accumulated erosion and sedimentation during the simulation.

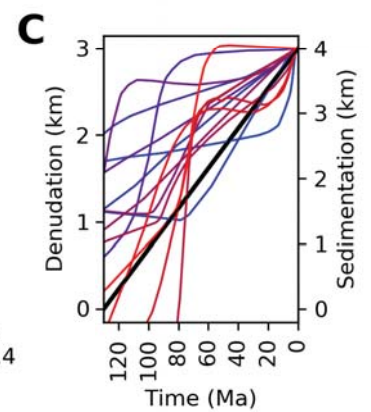
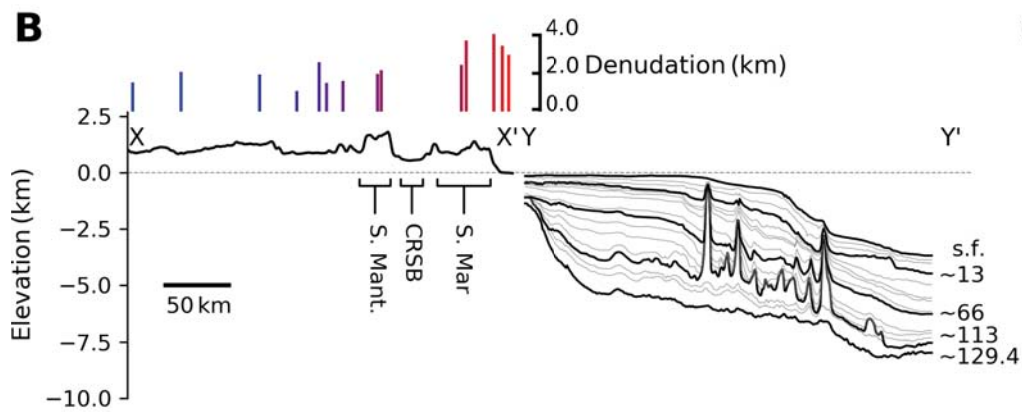
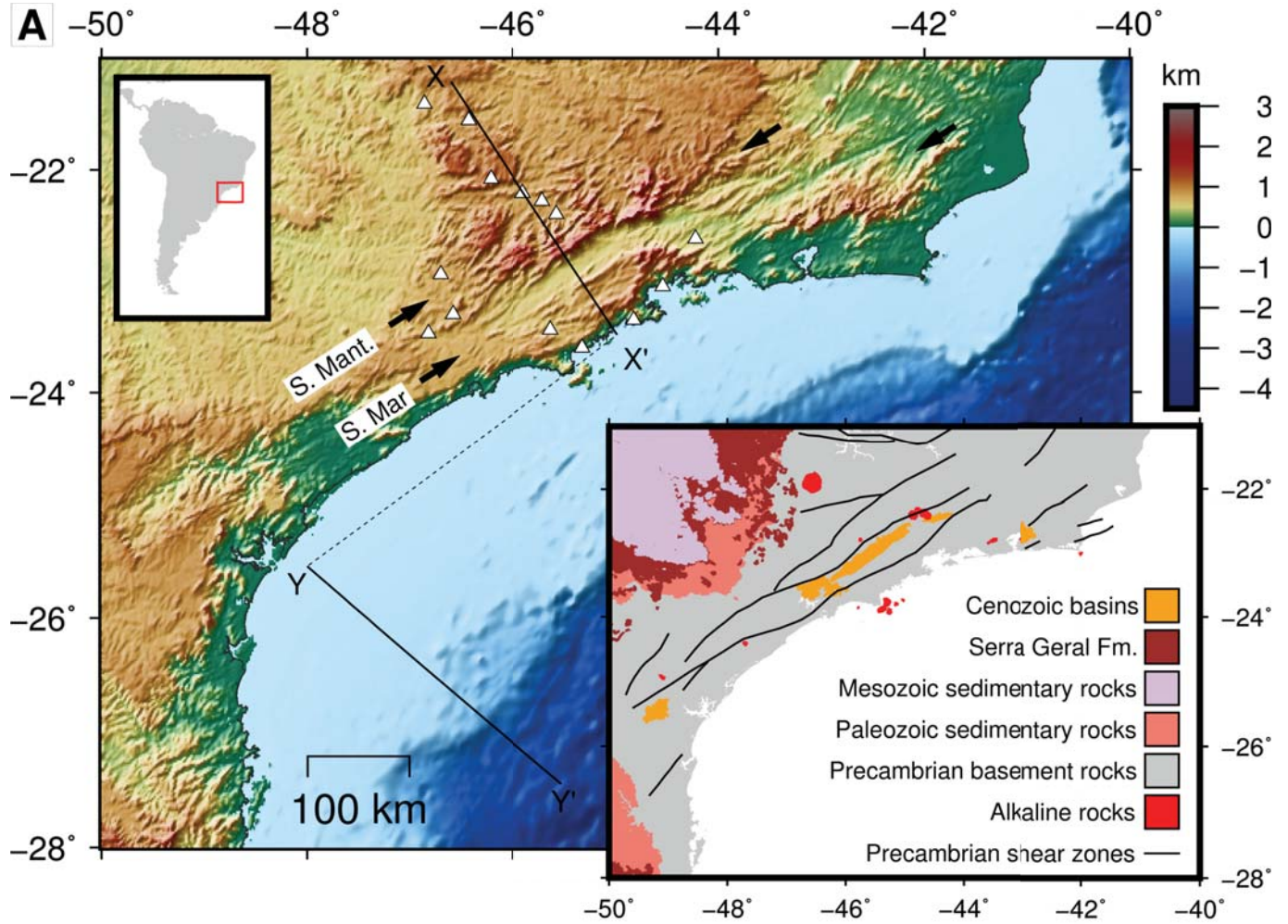
- 312 • Figure S2. Evolution of deviatoric stresses pattern for model S and setup a with
313 necking depth $z_n = 8.1$ km.

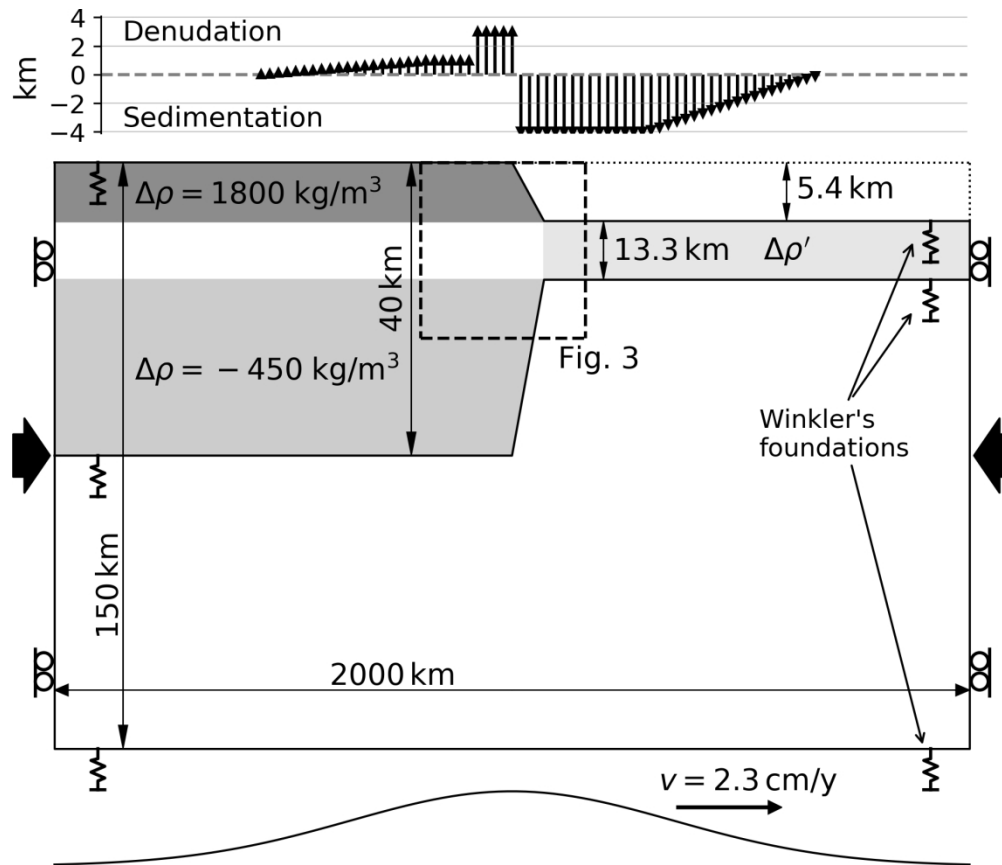
- 314 • Figure S3. Evolution of deviatoric stresses pattern for model S and setup a with
315 necking depth $z_n = 12.1$ km.

316 **Tables**

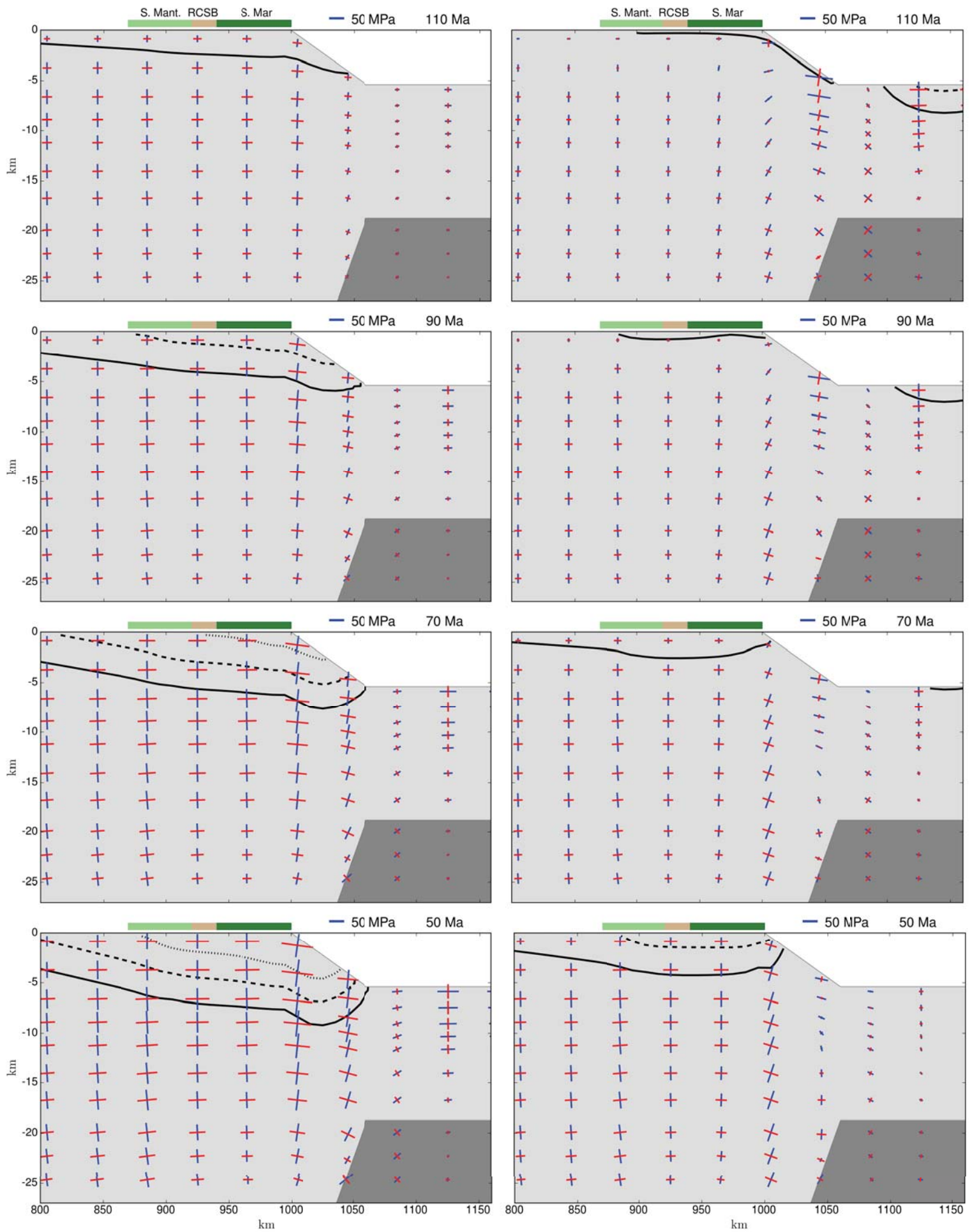
Table 1: Fixed Parameters

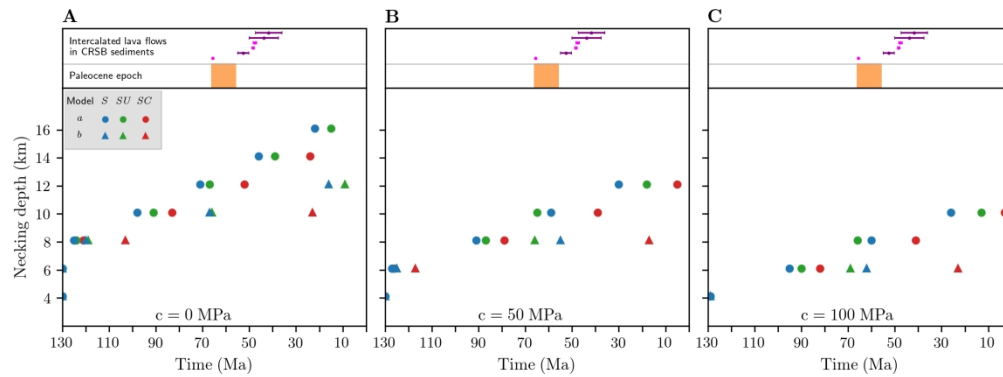
	Crust (Ranalli, 1987)	Mantle (Karato and Wu, 1993)
A ($\text{Pa}^{-n}\text{s}^{-1}$)	2.1×10^{-23}	2.4×10^{-16}
E_a (kJ/mol)	238	540
V_a ($\text{m}^3\text{mol}^{-1}$)	0	2×10^{-5}
n	3.2	3.5
R	$8.314 \text{ J K}^{-1}\text{mol}^{-1}$	
E (Young's modulus)	70 GPa	
ν (Poisson's ratio)	0.25	
g (gravity)	9.8 m/s^2	
F_{RP} (maximum force)	$4.93 \times 10^{12} \text{ N m}^{-1}$	
τ_{RP} (exponential factor)	60 Myr	





Model setup. Density contrasts were calculated based on reference densities for the crust and mantle of 2800 and 3250 kg/m^3 , respectively. The dashed rectangle indicates the sections shown in Fig. 3. The swell profile in the bottom part represents a thermal anomaly moving rightward with velocity of 2.3 cm/yr . Arrows in the upper panel represent the maximum load variation across the model. $\Delta\rho'$ is a fictitious density contrast used to obtain the equivalent flexural load for a given necking depth. The denudation/sedimentation rates follows the linear pattern shown in Fig. 1C.





Timing when d_{\max} is deeper than 1 km below the eroded surface for different necking depths. The purple (Ar-Ar ages) and magenta (K-Ar ages) bars represent interbedded lava flows with sediments in CRSB basins (Riccomini et al., 2004, and references therein). Horizontal orange bar indicates the Paleocene epoch. The model keys are: S - denudation and sedimentation; SU - denudation, sedimentation, and regional uplift; SC - denudation, sedimentation, and horizontal compression. Total amount of denudation and sedimentation are, respectively, 3 km and 4 km for a and 1.5 km and 2 km, respectively, for b.